Liquid biopsies

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Outline

- Amazing principles/ technologies
- Many relevant applications
- Burden of proof
- How to navigate the (hyped) market?

What

All non tissue based diagnostics

- Tissue is the issue
- Meric-Bernstam JCO 2015 at MDACC found 23% of patients referred for studies were ineligible due to tissue inadequacy for genomic testing
- Biopsy related complications, cost
- Liquid biopsies -> the stethoscope for the next 200 years Wall St Journal, 2015

Tumor releases a multitude of biomarkers into blood PRIMARY TUMOR TUMO

Tumor biomarkers in blood

- 1. Cell-free DNA (cfDNA)
- 2. Circulating tumor cells (CTCs)
- 3. Exosomes & micro vesicles





RNA expression and fusion transcripts



Protein expression and phosphorylation



Circulating Tumor Cell [cell number]



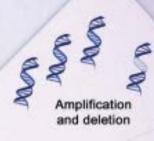
Circulating

Tumor DNA

[number of mutant

molecules]

Blood sample



DOTO

Translocation



Point mutations

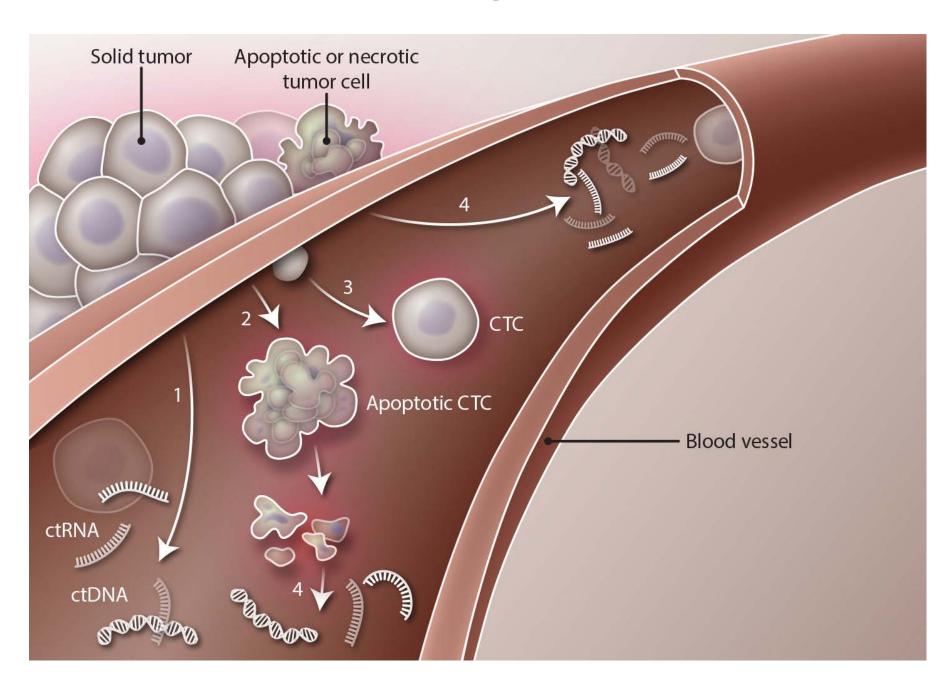


Chromosomal abnormalities

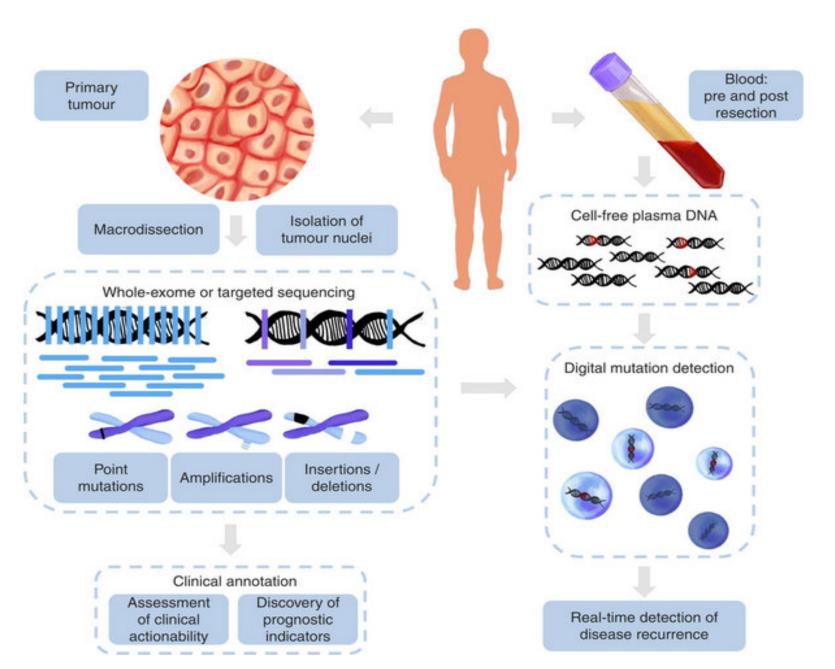


In vitro / in vivo culture

Bias according to source?



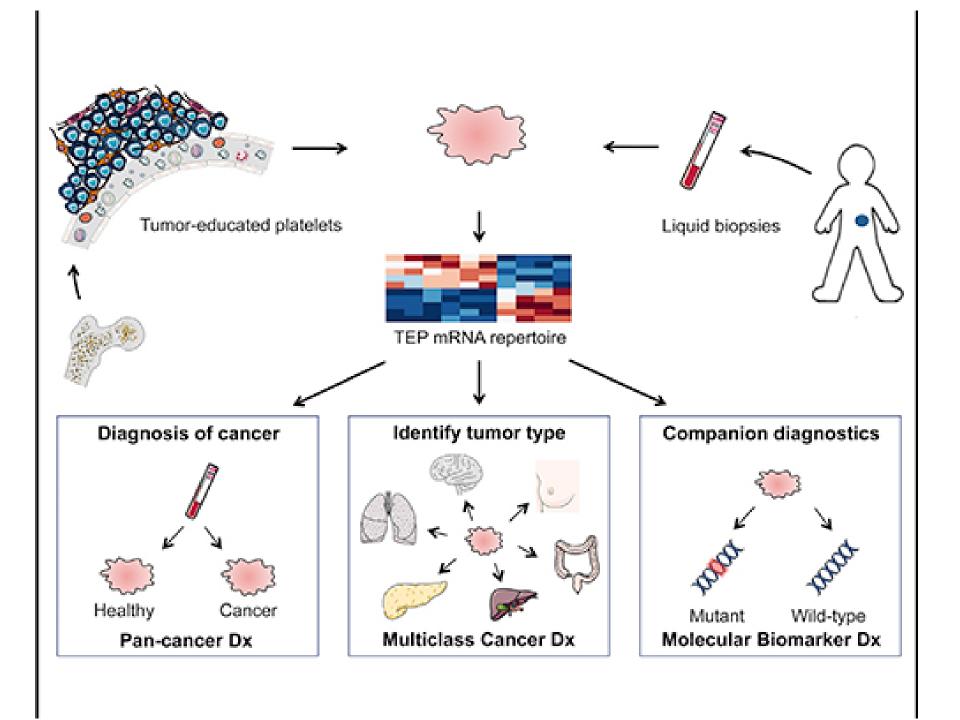
Equivalence for clinical relevance?



Many sources of data, appropriate extraction

Erythrocytes (~5×10e9/mL blood) Α Whole blood Cell free plasma Leukocytes (~7×10e6/mL blood) Circulating tumor cells (~0-10/mL blood) Thrombocytes (~3×10e8/mL blood) Normal exosomes (~10e11/mL blood) Tumor stroma exosomes (unknown) Tumor exosomes (~0-5×10e10/mL blood*) Normal cfDNA (~5×10e9/mL blood) Tumor cfDNA (~5×10e9/mL blood) Ago2 associated miRNA (~5×10e9/mL blood)

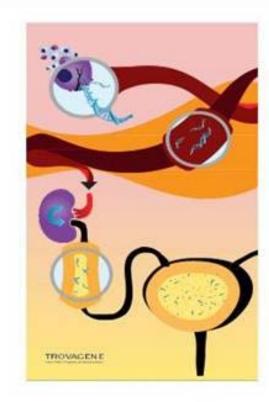
HDL associated miRNA (~5×10e9/mL blood)



Cell-free DNA Filters Into The Urine

Tracking-cell free DNA

- Cells in the body die continuously; cancer cells die at an accelerated rate
- DNA is released into the bloodstream, which is then broken down into smaller segments and filtered by the kidney
- Small, stable DNA fragments collect in urine where Trovagene technology can identify and quantify mutations of interest



Urine sample

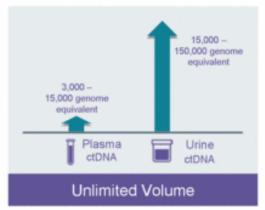
Enabling Benefits

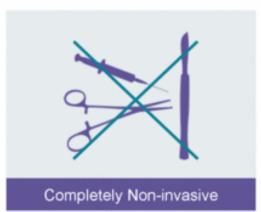
- ✓ Large sample volume
- ✓ Hours of continuous cfDNA collection
- ✓ Cell-free DNA stable in urine
- √ High frequency of collection possible

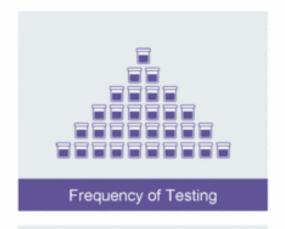
Logistics/Convenience

- ✓ Truly non-invasive
 - Patient can self-sample at home or clinic
 - No medical professional required for specimen
- ✓ No refrigeration required
- ✓ No infection risk
- ✓ Lower cost

Why Urine?



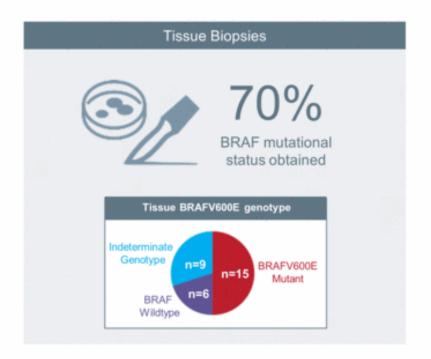


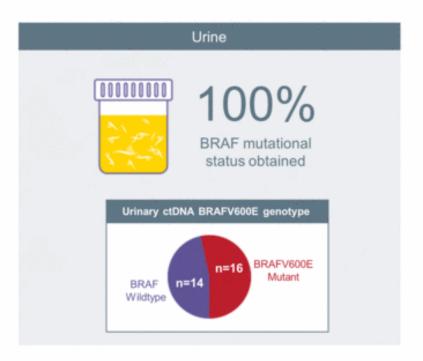




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Urinary ctDNA Outperforms Tissue Biopsies¹





100% concordance between tissue, urine and plasma in treatment naive patients

¹Hyman et al., Cancer Discovery 2015 Jan;5(1):64-71

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Why

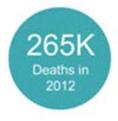
New Standard of Care Enabled by Trovagene Technology

| | Minimal Residual Disease (MRD) | Detection | Quantitate Drug Response | Monitoring | | | | | | |
|---|--|---|---|---|--|--|--|--|--|--|
| İ | Current Cancer Standard of Care | | | | | | | | | |
| | Surgery Adjuvant Therapy | Tissue Biopsy | Therapy | Imaging (every 6-8 weeks) | | | | | | |
| | | | O • • | | | | | | | |
| | Trovagene PCI | M adds information that imaging do | es not currently provide at much earlie | er time points. | | | | | | |
| | Monitoring for Minimal Residual Disease Tumor Recurrence | Molecular Detection of Clinically Actionable Mutations | Week 1: Assess Tumor Cell Kill by Therapy Beyond Week 1: Monitor Tumor Mutation Burden | Monitoring for Progression and Emergence of Resistance | | | | | | |
| | Earlier Detection of Metastatic Disease Disease | Alternative to Tissue Biopsy Right Patients Treated with Right Therapy Eliminates Patient Morbidity due to Tissue Biopsy Procedure | Immediate Assessment of Drug Effect on Tumor Predict Best Response Weeks in Advance of Imaging Enables Early Switch from Ineffective Therapy | Anticipates and Enables Next Therapy to Target Tumor Resistance | | | | | | |

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Trovagene Urine-based High Risk HPV Assay

Cervical Cancer



HPV Testing Market Globally



\$9B Market in 2020

- Screening is a viable solution:
 75% reduction in incidence in US from 1940 to
 1980 w/ National Screening Program
- · Screening not available globally:
 - Cost, Technical expertise, Healthcare infrastructure, Quality Control, Cultural
- High-risk HPVs cause virtually all cervical cancers



Urine-based High Risk HPV Assay established in Trovagene's CLIA lab since 2013

New Clinical Evidence

NCI:

Comparative Urine Study

J Clin Virol. 2014 Aug;60(4):414-7

UNC:

Urine collection methodology for detecting HPV associated with CIN2+/CIN3

Queen Mary, UL:

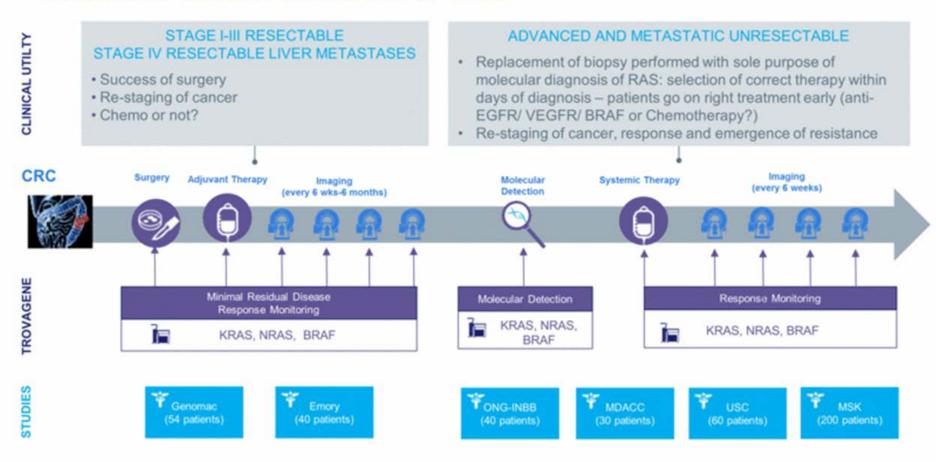
High sensitivity of test for detecting HPV associated with CIN2+, CIN3 (malignancy)

Source: Transparency Market Research

The Control of the Co

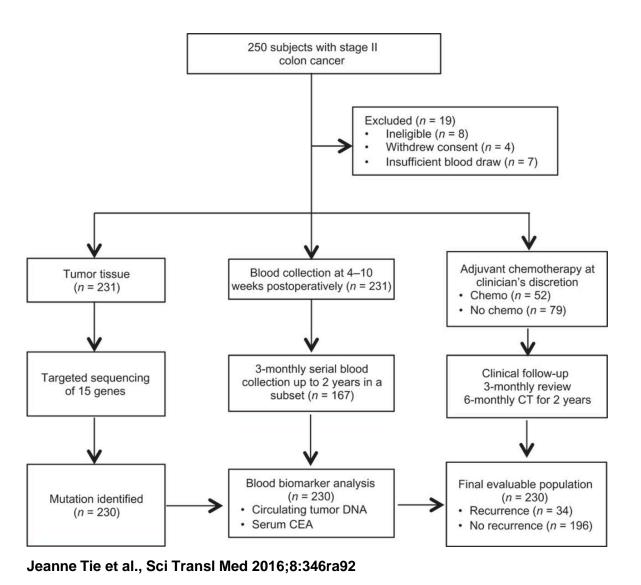
Early resected disease, relapse monitoring

Colorectal Cancer Standard of Care



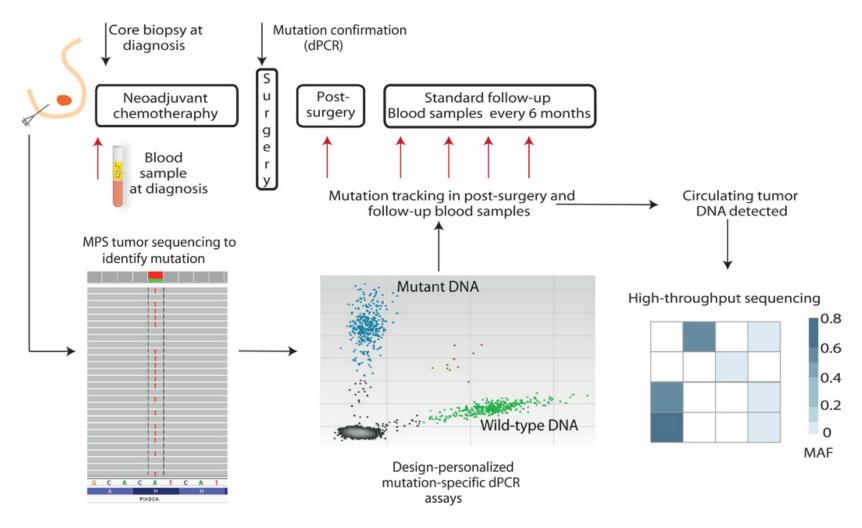
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Fig. 1. Patient enrolment and sample collection.



Science
Translational
Medicine

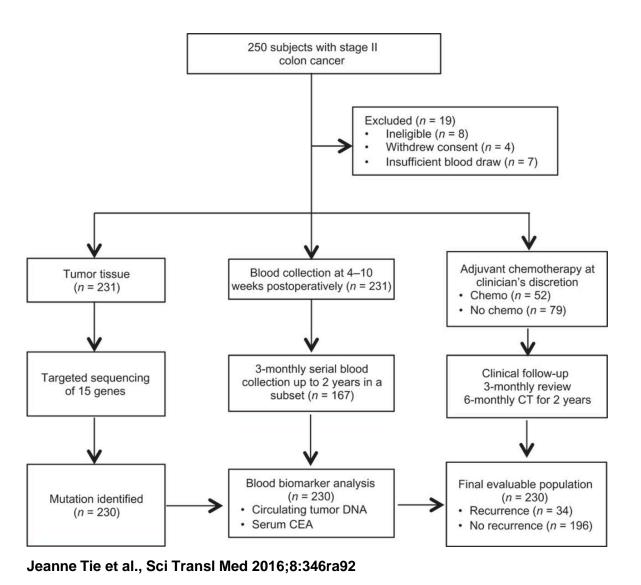
Fig. 1. Personalized dPCR assays for mutation tracking of ctDNA in plasma of patients with early breast cancer.



Isaac Garcia-Murillas et al., Sci Transl Med 2015;7:302ra133

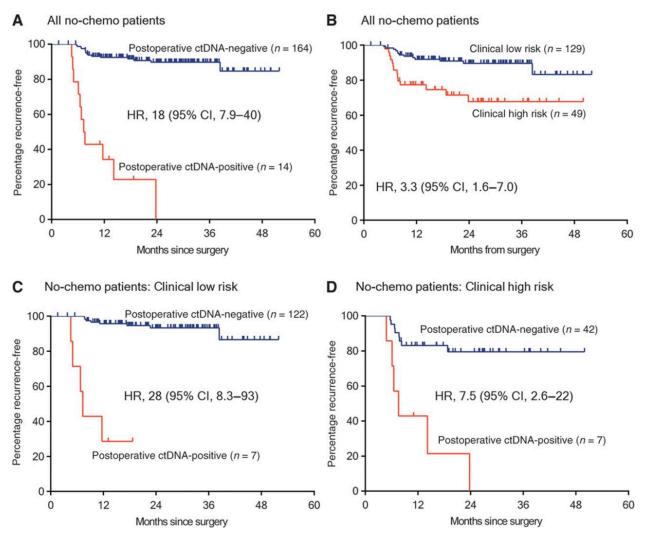


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Science
Translational
Medicine

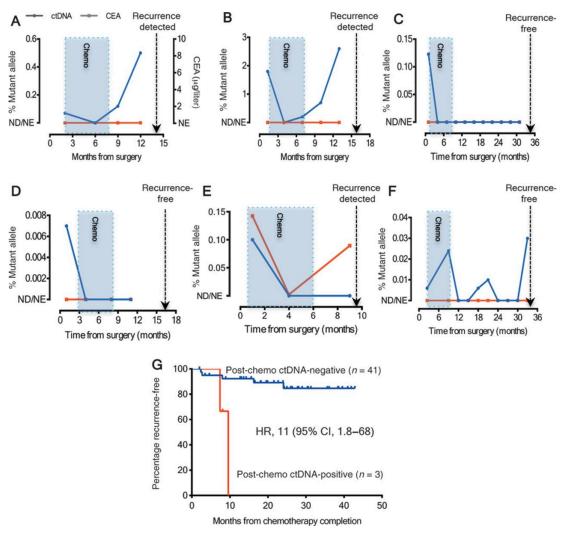
Fig. 2. RFS in patients not treated with adjuvant chemotherapy.



Jeanne Tie et al., Sci Transl Med 2016;8:346ra92

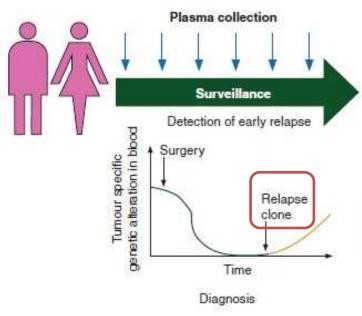


Fig. 3. ctDNA status before, during, and after adjuvant chemotherapy.









Detection of residual disease

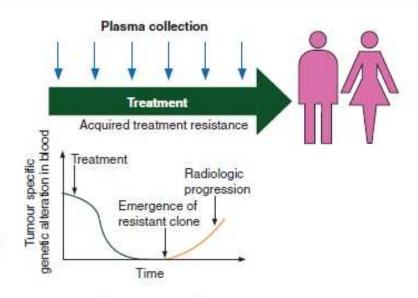
post adjuvant treatment

Risk stratification to guide selection of adjuvant therapy

Disease relapse

Early identification of relapse

Early identification of therapeutic targets



Disease progression

Early identification of treatment resistance

Understanding mechanisms of resistance

Medscape

Source: Ann Oncol @ 2014 Oxford University Press



Leukocytes (~7×10e⁶/mL blood)

Circulating tumor cells (--0-10/mL blood)

Thrombocytes (~3×10e⁶/mL blood)

Normal exosomes (~10e¹¹/mL blood)

Tumor stroma exosomes (unknown)

Tumor exosomes (~0-5×10e¹⁰/mL blood*)

Normal cfDNA (~5×10e%mL blood)

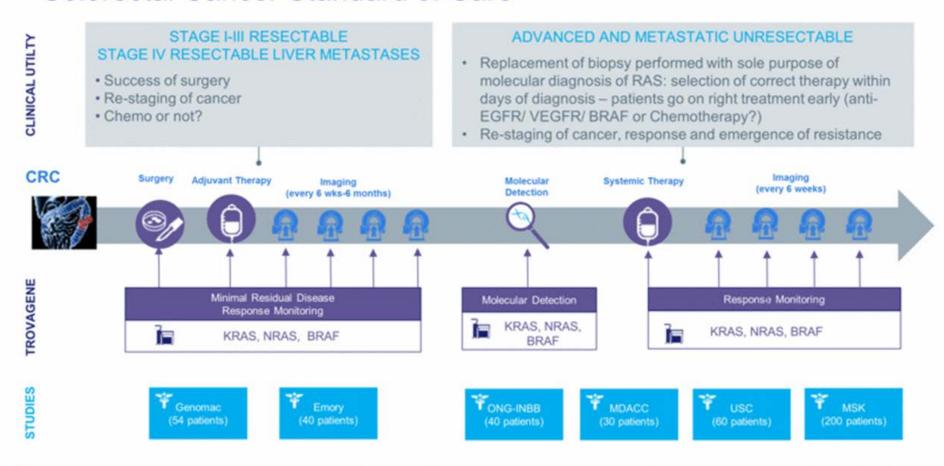
Tumor cfDNA (~5×10e9/mL blood)

Ago2 associated miRNA (~5×10e9/mL blood)

HDL associated miRNA (~5×10e9/mL blood)

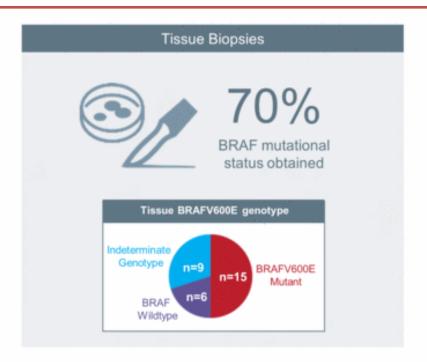
Predictive biomarkers, replace tissue baseline

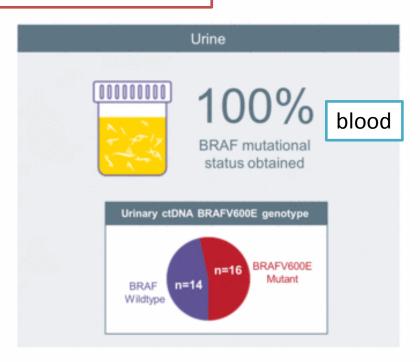
Colorectal Cancer Standard of Care



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Equivalence studies ongoing (Sysmex, Biocartis, ..) At baseline/diagnosis for colon and lung

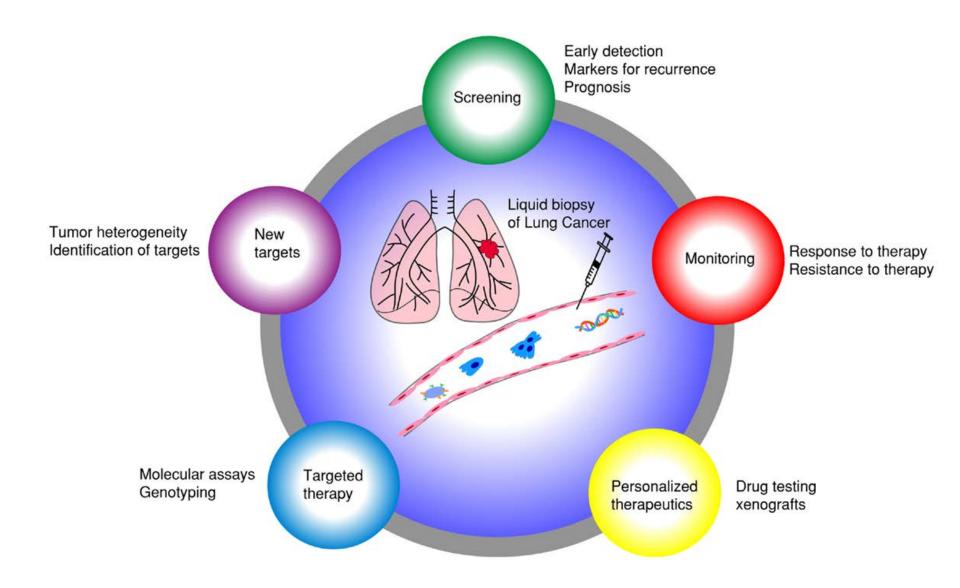




100% concordance between tissue, urine and plasma in treatment naive patients

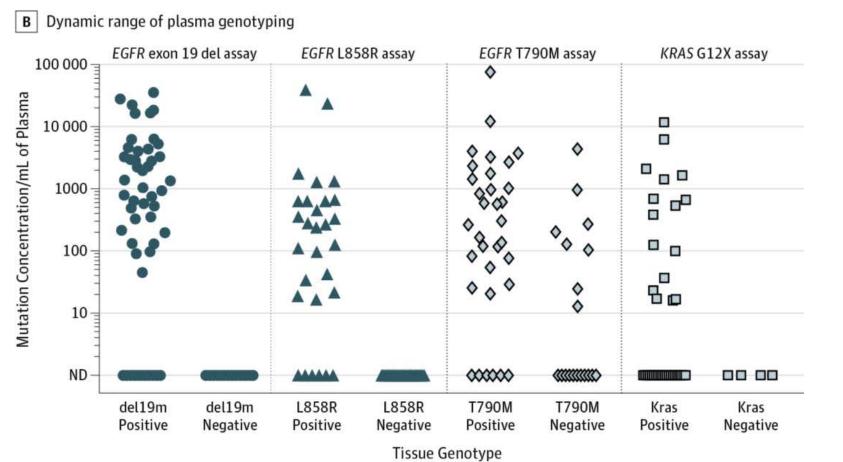
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Some tissues can be more difficult to get



Prospective validation of EGFR mt testing. Sacher and Oxnard JAMA Oncol 2016

- 180 pts •ctDNA tested via ddPCR for EGFR exon 19 del, L858R, T790M and KRAS mutations
 •Turnaround times for plasma ctDNA vs tissue is 3 (1-7) vs 12/27 (1-146) days
- High specificity 100% for all mtns except T790M 79%
- Sensitivity 72-84% for EGFR mts and 64% for KRAS mtns



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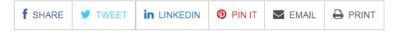
Drugs

Home > Drugs > Drug Approvals and Databases > Approved Drugs





cobas EGFR Mutation Test v2

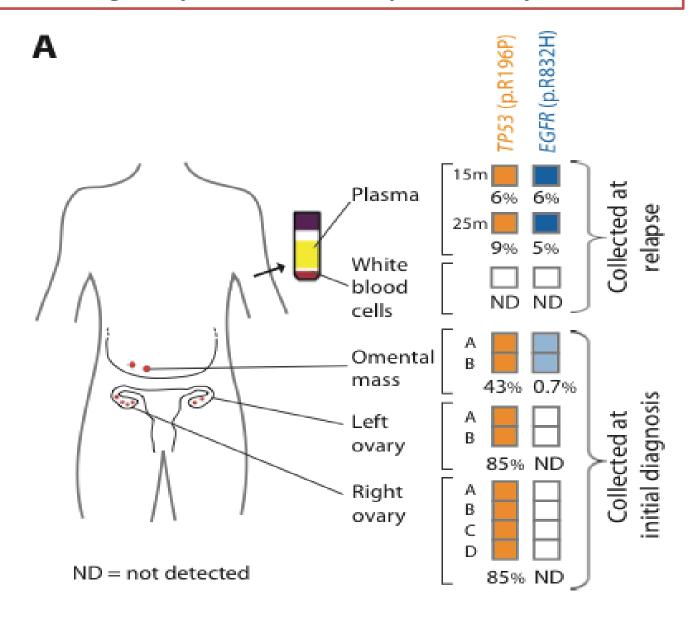


On June 1, 2016, the U. S. Food and Drug Administration approved cobas EGFR Mutation Test v2 (Roche Molecular Systems, Inc.) using plasma specimens as a companion diagnostic test for the detection of exon 19 deletions or exon 21 (L858R) substitution mutations in the epidermal growth factor recptor (EGFR) gene to identify patients with metastatic non-small cell lung cancer (NSCLC) eligible for treatment with Tarceva® (erlotinib). The cobas EGFR Mutation Test v2 is already approved for this indication using formalin-fixed paraffin-embedded (FFPE) tissue specimens. The new use is for detection of these specific mutations in circulating-free tumor DNA (cfDNA) isolated from plasma specimens, also called liquid biopsy specimens, to aid physicians in identifying patients who may be treated first with TARCEVA (erlotinib). This is the first "liquid biopsy test" approved for use by FDA. This new test may benefit patients who may be too ill or are otherwise unable to provide a tumor specimen for EGFR testing. Patients positive by cobas EGFR Mutation Test v2 using plasma specimens for the presence of EGFR exon 19 deletions or L858R mutations are candidates for treatment with Tarceva (erlotinib). Patients who are negative by this test should undergo routine biopsy and testing for EGFR mutations with the FFPE tissue sample type.

The approval was based on a multicenter, open-label, randomized, Phase III study, to evaluate the efficacy and safety of Tarceva versus gemcitabine plus cisplatin as first-line treatment for stage IIIB/IV NSCLC patients (ENSURE study). Patients entering the ENSURE study had tumor tissue specimens that tested positive for the EGFR exon 19 deletion or L858R mutations as determined by the cobas EGFR Mutation Test v1. Five hundred seventeen of the 601 (86.0%) patients screened for the ENSURE study with valid cobas EGFR Mutation Test v1 test results had available plasma samples available. Of the patients enrolled, 98.6% (214/217) had a plasma sample available for testing. The agreement between the cobas EGFR Mutation Test v2 in plasma and the cobas EGFR Mutation Test v1 in tissue was evaluated for detection of EGFR mutations (Ex. 19del and L858R mutations) in NSCLC patients screened for participation in ENSURE. In 76.7% (70.5%, 81.9%) of tissue-positive specimens, plasma was also positive for an EGFR mutation. Plasma was negative for EGFR mutation in 98.2% (95.4%, 99.3%) of tissue-negative cases. The drug efficacy of TARCEVA, based on the cobas EGFR Mutation Test v2 in plasma, was evaluated by bridging to the drug efficacy based on the cobas EGFR Mutation Test v1 in tissue in the ENSURE study.

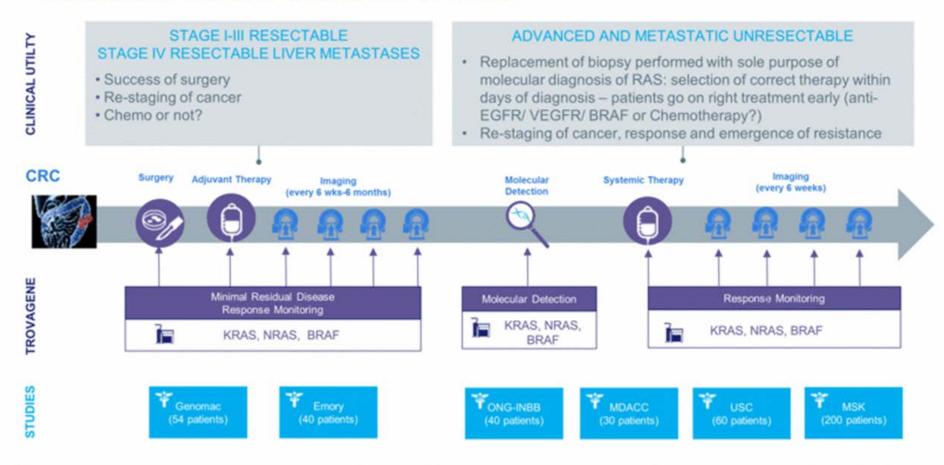
The patients whose plasma results were positive for exon 19 deletion and/or an L858R mutations treated with

Is primary tumor representative of metastatic disease? Tumor heterogeneity at baseline, does plasma tell you more

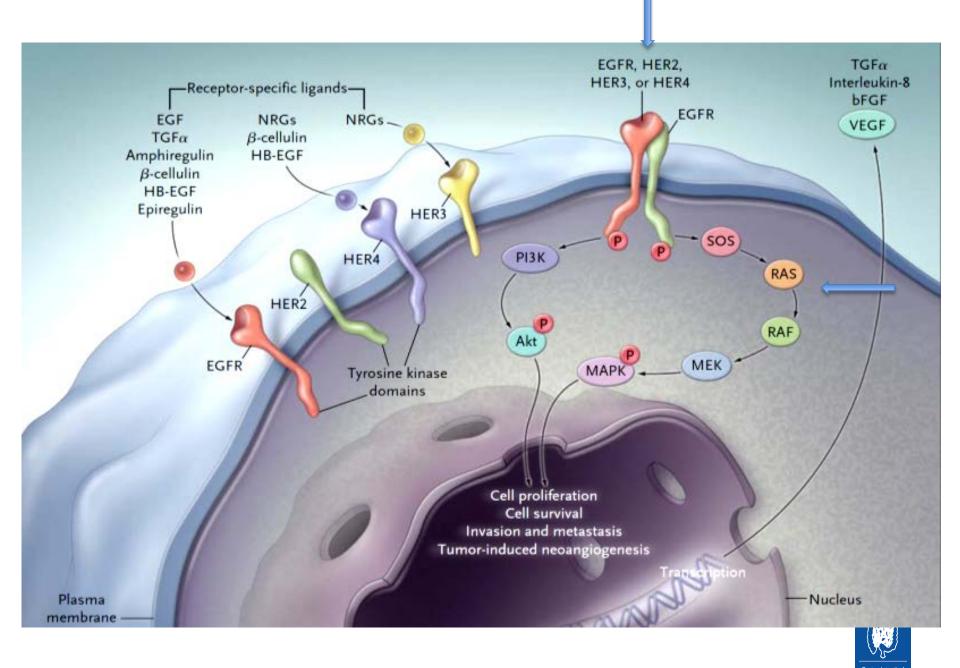


Predictive biomarkers during therapy, replace very difficult tissue

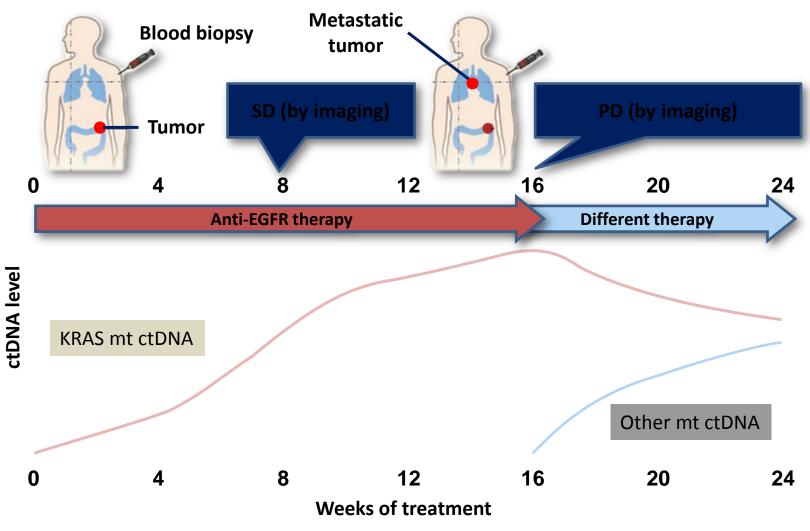
Colorectal Cancer Standard of Care



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IF not present at **Baseline**, RAS mutations are frequently **Gained** during therapy!



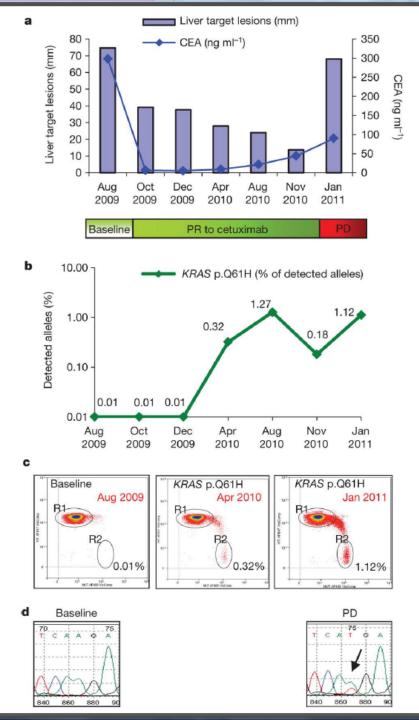
Reprinted by permission from Macmillan Publishers Ltd: Vilar E, Tabernero J. Nature 2012;486:482–483, copyright (2012)

Detection of circulating KRAS mutant DNA in a patient with acquired resistance to cetuximab therapy.

a, Size of liver metastasis (blue bars) and carcinoembryonic antigen (CEA) levels in blood (blue line) at the indicated time points, showing an initial response to cetuximab followed by progression (patient 8). PR, partial response; PD, progressive disease. **b**, Quantitative analysis of KRAS(Q61H) mutant DNA in plasma, as assessed by BEAMing. **c**, Two-dimensional dot plot showing quantitative analysis of the KRAS(Q61H) mutation in plasma using BEAMing at individual time points. **d**, Mutational analysis of *KRAS* on tumour samples collected before cetuximab treatment and at the time of disease progression.

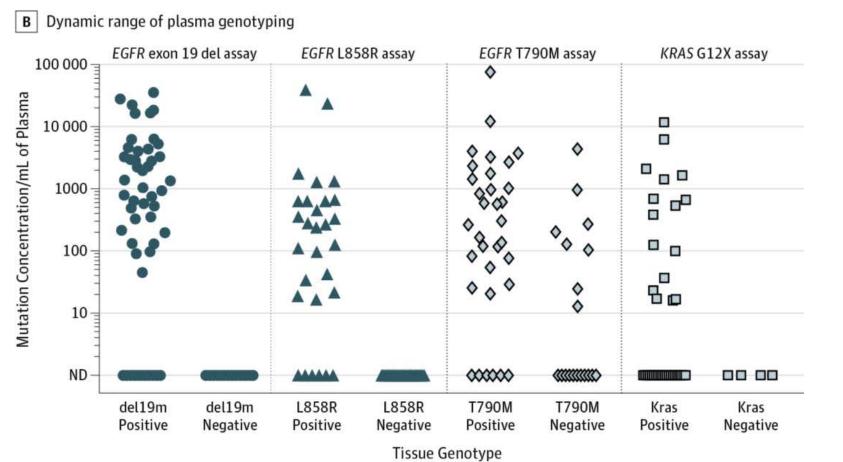
S Misale *et al. Nature* **000**, 1-5 (2012) doi:10.1038/nature11156

nature



Prospective validation of EGFR mt testing. Sacher and Oxnard JAMA Oncol 2016

- 180 pts •ctDNA tested via ddPCR for EGFR exon 19 del, L858R, T790M and KRAS mutations
 •Turnaround times for plasma ctDNA vs tissue is 3 (1-7) vs 12/27 (1-146) days
- High specificity 100% for all mtns except T790M 79%
- Sensitivity 72-84% for EGFR mts and 64% for KRAS mtns



Other cancers, predictive? Ongoing data generation

Murtaza et al. Non-invasive analysis of acquired resistance to cancer therapy by sequencing of plasma DNA. Nature 2013; 497: 108.

- ctDNA used to track markers of resistance in breast, ovarian and lung cancers
- 6 pts followed over 1-2 yrs & sampled at intervals >3wks.
- Mutant alleles detected in therapy resistance
- -PIK3CA mtn on paclitaxel
- -T790M mtn on gefitinib
- -RB1 mtn on cisplatin
- -MED1mtn on tamoxifen and trastuzumab

Technology

ct-dna

- Quantitative PCR amplification methods
- -Requires primers specific for the detection of certain mutations
- -Lowest cost and ease of use
- -limited sensitivity
- Digital PCR
- Absolute quantification of allele of interest
- highest accuracy and sensitivity
- -Limited genomic loci
- Targeted deep sequencing and NGS
- -high-sensitivity
- Broad range of genomic coverage
- -CAPP seq, Safe SEqS ,...

What is droplet digital PCR?

- A real-time PCR reaction that is partitioned into many droplets a PCR reaction happens in each droplet.
- A portion of these reactions contain the target molecule while others do not.
- Positive reactions are counted.
- Fraction of negative reactions is used to deduce the absolute number of target molecules in the sample.
- Currently in use to test for JAK2 V617F mutation.



One measurement



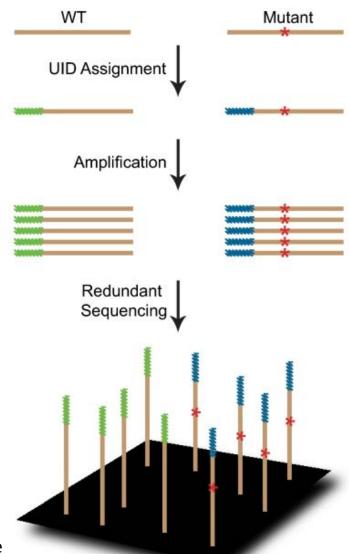
are independent, single amplification events



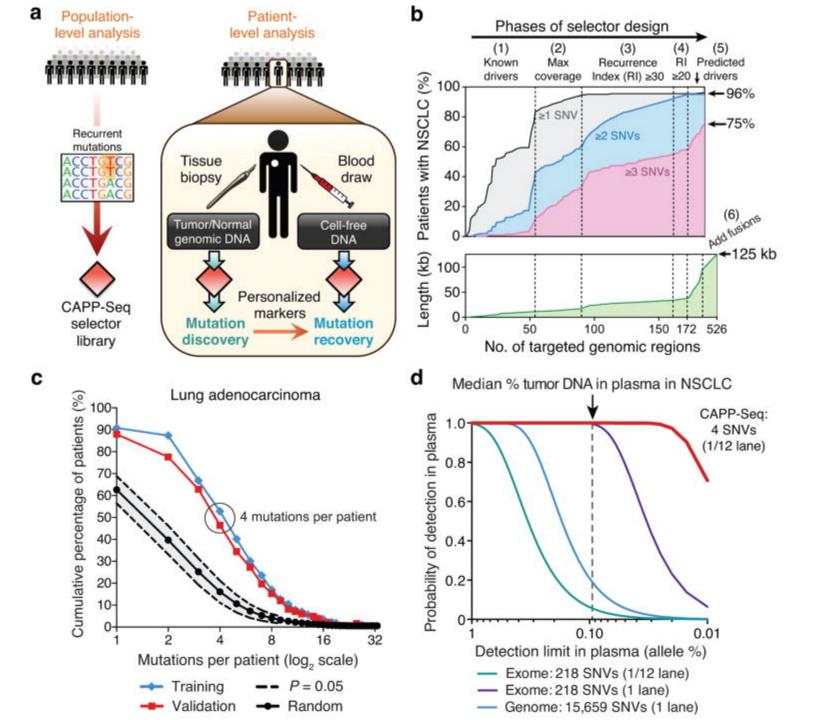
Many thousands of discrete measurements







- Safe-Sequencing System ("Safe-SeqS")
- assignment of a unique identifier (UID) to each DNA template molecule
- amplification of each uniquely tagged template, so that many daughter molecules with the identical sequence are generated (defined as a UID family). If a mutation preexisted in the template molecule used for amplification, that mutation should be present in every daughter molecule containing that UID (barring any subsequent replication or sequencing errors).
- A UID family in which at least 95% of family members have the identical mutation is called a "supermutant".

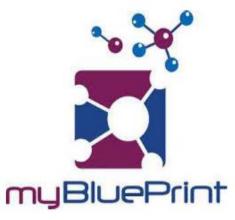


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ctDNA Platforms

Commercial entities –over 60 in USA!





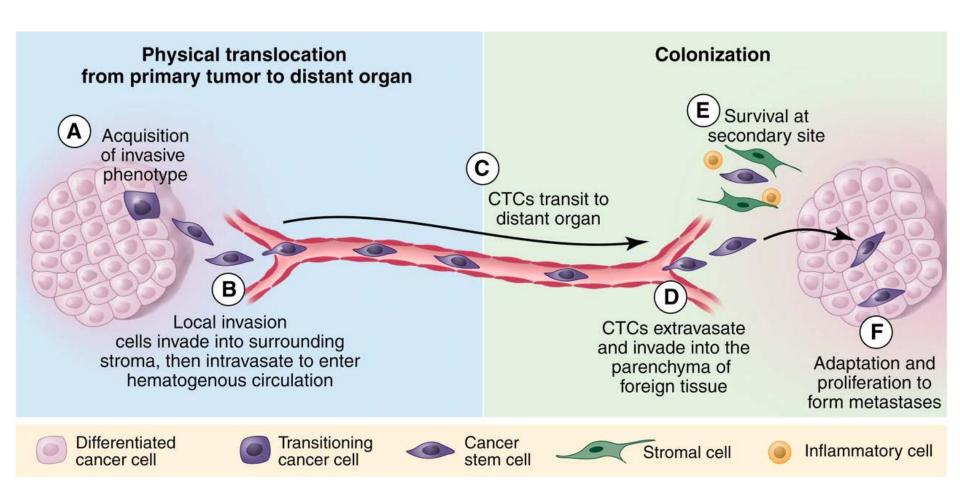




| Detection method | Commercial test | Analytical sensitivity | Analytical specificity | Cost (\$USD) | TOT (days) |
|------------------------------|--------------------|------------------------|------------------------|--------------|------------|
| Next- generation | Guardant 360 | >85% | 99.99% | \$5600 | 14 |
| sequencing | Foundation ACT | >95% | 99% | \$5800 | 14 |
| Digital PCR | Biodesix | >85% | 100% | \$1800 | 3 |
| Quantitative PCR & sanger | Biocept | 97% | 99.5% | \$1900 | 7 |
| Quantitative PCR & NGS | Trovagene | 93% | 99% | \$1500 | 14 |

From Johnson ML, ASCO 2016 education session: Biomarkers, Blood-based testing and the heterogenous tumour.

Nucleic acids from Circulating tumor cells



| Technology | Approach | Flow rate (ml/h) | Recovery cell lines | Purity WBCs (ml) | Patient samples | Whole blood | Genomic analysis | Live cells | Culture | Drug testing |
|----------------|--|------------------------|--------------------------------------|--|---|----------------------|--------------------------------------|---------------|---------|-----------------|
| CellSearch | EpCAM-coated magnetic beads | NA | >80% | Low | <50% in breast (43) 32% in lung cancer, 5CTCs/7.5ml (19) | N | N | N | N | Ν |
| Epic Sciences | No enrichment, RBCs lysed blood deposited on slides | NA | NA | None | 73% in lung cancer (38), 55% in melanoma (112) | N | Y, single cell for copy number | N | N | N |
| Mag Sweeper | Flow through immunomagnetic capture | | 62 ± 7% | | 100% in metastatic breast cancer, 12CEpCs/9 ml (113) | Y, need dilution | Υ | Υ | N | NA |
| ISET | Size-based filtration | NA | One CTC per 1 ml of blood | NA | 80% in lung cancer (36, 40, 68) | N | Y, FISH | N | N | N |
| CTC iChip | Size-based separation +ve or -ve selection with mag beads | 9.6 | >95% for -ve 78-98% for +ve | >10,000 for -ve <10,000 for +ve | 90% from multiple types of metastatic cancers, including lung cancer (64) | Y, not a single step | Y, single- cell RNA expression | Υ | Υ | Υ |
| FACS Sorting | Surface marker-based selection | Very low | NA | Very Low | <10% (99) | Υ | Υ | Υ | Υ | N |
| RosetteSep kit | Depletion of WBCs | NA | NA | NA | NA (42, 101, 109) | Multiple steps | Υ | Υ | Y | NA |
| CTC chip | Positive selection | 1 | >95% | NA | 72% in lung cancer (27) | Υ | Υ | Y | Y | N |
| GO Chip | Nanopillars with graphene oxide | 1–3 | >95% 2-5 CTCs | <1,000 | >95% sensitivity, 10 CTCs/ml (46) | Υ | Υ | Υ | Υ | N |

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From Johnson ML, ASCO 2016 education session: Biomarkers, Blood-based testing and the heterogenous tumour.

Until now, what's been missing from liquid biopsy research is evidence from large controlled studies that the information the test provides is both accurate and useful.

MIT Technology Review

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Radiation-Emitting Products

Vaccines, Blood & Biologics

Animal & Veterinary

Cosmetics

Tobacco Products

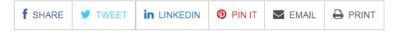
Drugs

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cobas EGFR Mutation Test v2



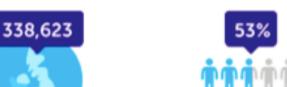
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COST

Cases



New cases of cancer, 2012, UK

Common cancers

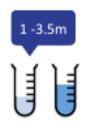


More than half of new cases of cancer are breast, lung, prostate or bowel cancer, 2012, UK Survival



Survive cancer for 10 or more years, 2010-11, **England and Wales**

Tests?



Number of ctDNA "liquid biopsy" tests 2020, UK

| Detection method | Commercial test | Analytical sensitivity | Analytical specificity | Cost (\$USD) | TOT (days) |
|-----------------------------------|-----------------------------|------------------------|------------------------|------------------|------------|
| Next- generation sequencing | Guardant 360 Foundation ACT | >85% >95% | 99.99% | \$5600 \$5800 | 14 |
| Digital PCR | Biodesix | >85% | 100% | \$1800 | 3 |
| Quantitative PCR & sanger | Biocept | 97% | 99.5% | \$1900 | 7 |
| Quantitative PCR & NGS | Trovagene | 93% | 99% | \$1500 | 14 |

Commercial versus Home Brew

Focus group test development Test comparison/equivalence